



RECEIVED

NOV 26 2001

1644
#

Please type (+) inside this box → ☒

TECH CENTER 1600/2900

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	08/349,177		
	Filing Date	2 December 1994	
	First Named Inventor	Howard M. GREY	
	Group Art Unit	1644	
	Examiner Name	Ron Schwadron	
Total Number Of Pages In This Submission	275	Attorney Docket No.	399632000623

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Substitute Specification (clean and marked-up copy) Change of Entity Status Form 1449 Cited References Return-receipt postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		



25225

PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Bruce D. Grant Reg. No. 47,608 Morrison & Foerster LLP 3811 Valley Centre Drive, Suite 500, San Diego, California 92130
Signature	
Date	November 15, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 15, 2001.

sd-66962

RECEIVED

NOV 26 2001

Complete if Known

PTO FEE TRANSMITTAL FOR FY 2002

TECH CENTER 1600/2900

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1100.00)

Application Number 08/349,177

Filing Date December 1994

First Named Inventor Howard M. GREY

Examiner Name Ron Schwadron

Group Art Unit 1644

Attorney Docket No. 39963200623

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

03-1952, referencing 39963200623

Deposit
Account
Name

Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	x	\$
Multiple Dependent	-3** =	x	\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) fee for filing IDS

180

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$1100)

SUBMITTED BY

Name (Print/Type)

Bruce D. Grant

Registration No.
(Attorney/Agent)

47,608

Complete (if applicable)

Telephone

(858) 720-7962

Signature

Date

November 15, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

sd-66965



RECEIVED

PATENT
NOV 26 2001
Docket No. 399632000623

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

USPS hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231, on November 15, 2001.

Rebekah Werth
Rebekah Werth

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

GREY, *et al.*

Serial No.: 08/349,177

Filing Date: 2 December 1994

For: HLA-A2.1 BINDING PEPTIDES AND THEIR
USES

Examiner: Ron Schwadron

Group Art Unit: 1644

NOTICE OF CHANGE OF SMALL ENTITY STATUS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.28(b), notice is hereby given that the above-captioned application is no longer entitled to Small Entity Status and that the claim for small entity status in the present application is hereby withdrawn. This notification is made prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which the status was no longer appropriate.

Dated: November 15, 2001

Respectfully submitted,

By:

Bruce D. Grant
Bruce D. Grant

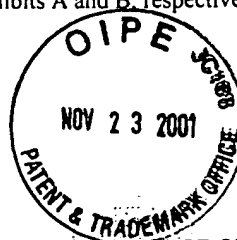
Registration No. 47,608

Morrison & Foerster LLP
3811 Valley Centre Drive, Suite 500
San Diego, CA 92130
Telephone: (858) 720-7962
Facsimile: (858) 720-5125

Docket No.: 399632000623	Atty: Bruce D. Grant
Serial No.: 08/349,177	Filing Date: 2 December 1994
Title: HLA-A2.1 BINDING PEPTIDES AND THEIR USES	
Date of Mailing: November 15, 2001	

Papers enclosed herewith:

1. Transmittal (1 page)
2. Fee Transmittal (1 page, 1 duplicate)
3. Request for Extension of Time (1 page, 1 duplicate)
4. Change of Entity Status (1 page)
5. Amendment under 37 C.F.R. § 1.111 (~~20~~ pages)
6. Substitute Specification, (clean and marked up copy, Exhibits A and B, respectively) (~~101~~ pages)
7. Exhibit C (~~9~~ pages)
8. Declaration, with Exhibits (32 pages)
9. Information Disclosure Statement (3 pages)
10. Form 1449 (3 pages)
11. Cited References (76 references)
12. Return Receipt Postcard



RECEIVED
NOV 30 2001
MORRISON & FUESTER
SAN DIEGO
each
AD

RECEIVED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE ON _____